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9 RH: Effects of falconry harvest on raptors

10 **Effects of falconry harvest on wild raptor populations in the United**
11 **States: theoretical considerations and management recommendations**

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18 **Abstract:** We used recent population data and a deterministic matrix model that
19 accounted for important aspects of raptor population biology to evaluate the likely impact
20 of falconry harvest (including take of different age classes) on wild raptor populations in
21 the United States. The harvest rate at maximum sustainable yield (MSY) ranged from
22 0.03 to 0.41 for the species examined. At least for peregrine falcons, harvest rate at MSY
23 was greatest for a harvest exclusively of nestlings and lowest for a harvest of adults. The
24 quality of demographic data for the species had a considerable influence on MSY. For
25 most species, the state of current knowledge probably underestimates the capacity for
26 allowed harvest because estimates of vital rates, particularly survival, are biased low,

27 primarily because emigration is not distinguished from survival. This is offset somewhat
28 by biases that might tend to overestimate sustainability inherent in MSY-based analyses
29 and deterministic models. Taking these factors into consideration, and recognizing the
30 impracticality of monitoring raptor populations to determine actual effects of harvest, we
31 recommend that falconry harvest rates for juvenile raptors in the U.S. not exceed $\frac{1}{2}$ of the
32 estimated MSY up to a maximum of 5%, depending on species-specific estimates of
33 capacity to sustain harvest. Under this guideline, harvest rates of up to 5% of annual
34 production are supported for northern goshawks, Harris's hawks, peregrine falcons, and
35 golden eagles; lower harvest rates are recommended for other species until better
36 estimates of vital rates confirm greater harvest potential.

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38 **Key words:** demographics, falconry, harvest, modeling, raptors, United States, maximum
39 sustainable yield

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41 Falconry has been practiced in the United States since at least the 1920s. Prior to
42 inclusion of Falconiformes and Strigiformes under the Migratory Bird Treaty Act
43 (MBTA) with amendment of the treaty with Mexico in 1972, falconry was not federally
44 regulated, and no comprehensive records were available on the number of falconers or
45 number of raptors removed from the wild annually. Regulations promulgated by the U.S.
46 Fish and Wildlife Service (FWS) in 1976 (50 CFR Part 21) formally legalized falconry
47 under MBTA, and necessitated that the FWS assess the likely impacts of falconry harvest
48 on wild raptor populations. Those regulations required falconers to be permitted, and to

49 report the harvest and subsequent disposition of raptors acquired for use in the sport. The
50 requirements resulted in data useful in assessing the likely impacts of falconry on wild
51 raptor populations, and the FWS used those data to conduct its first Environmental
52 Assessment (EA) of falconry in 1988 (United States Department of the Interior 1988).
53 The 1988 EA concluded that the impact of falconry on wild raptor populations in the
54 United States was inconsequential.

55 Since 1988 two important things have changed. First, the American peregrine
56 falcon (*Falco peregrinus anatum*) was removed from the federal list of Endangered and
57 Threatened Wildlife in 1999. The subspecies had been protected from falconry harvest
58 since federal regulation of the sport began because of its listed status. Subsequent to
59 delisting, a conservative and carefully controlled harvest was allowed in the western
60 United States (United States Fish and Wildlife Service 2004). This action prompted a
61 legal challenge to the FWS's assertion that falconry harvest of American peregrine
62 falcons will have minimal impacts on the wild population, and alleging that the FWS's
63 failure to adequately monitor peregrine populations to determine the impact of harvest
64 violates the MBTA (Audubon Society of Portland et al. vs. U.S. Fish and Wildlife
65 Service 2004). Second, the federal government has adopted more stringent standards for
66 information for making science-based decisions. The standard requires clearer
67 articulation and more scientific peer review of the information used in such
68 determinations (OMB 2004).

69 Several aspects of raptor population biology are particularly germane to an
70 assessment of impacts of falconry harvest. In addition to the overall limiting effect of

71 prey availability, nesting densities of healthy wild raptor populations are usually further
72 constrained by the availability of suitable nesting sites, spatial restrictions imposed by
73 territoriality, or both (Newton 1979, Hunt 1998). The net effect is that an upper limit
74 exists on the number of adult individuals that can breed in a given landscape. This, in
75 turn, may result in a large number of non-breeding adults awaiting opportunities to
76 occupy vacancies at breeding territories (Newton 1988, Hunt 1998). These “floating”
77 adults are not accounted for by conventional counts of territorial pairs or nestlings
78 (Newton 1988), yet they can profoundly affect populations by buffering the effects of
79 population declines, by contributing to decreases in reproductive success of breeders
80 directly through interference competition and direct mortality (Tordoff and Redig 1997),
81 and perhaps indirectly through competition for food resources (Newton 1988). Further,
82 as a consequence of intense competition for nesting territories, age at first breeding is
83 increased in healthy raptor populations, presumably because younger adults face
84 competition with established or experienced older birds for vacancies at breeding sites.

85 This paper aims to describe the likely impact of falconry harvest on wild raptor
86 populations in the United States, taking into account these features of raptor populations.
87 We use the FWS’s most recent data on numbers of raptors taken from the wild and
88 employ deterministic models to assess estimated effects on populations. We also
89 illustrate how the dynamics of most raptor populations make monitoring the short-term
90 impact of falconry harvest on populations in the wild nearly impossible and certainly
91 impractical, and we make recommendations on how this should be accounted for in
92 harvest strategies.

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Methods

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Definitions

96 We use the term juvenile to refer to an individual <1 yr old, subadult refers to a
97 raptor >1 yr of age but not old enough to typically breed, and floater refers to an adult
98 that has not settled into a breeding slot at an established nesting site. Falconry harvest
99 typically focuses on juvenile raptors, either nestlings (eyases) or fledged young <1 yr old
100 (passagers). Harvest and take in this paper refer to the capture and removal from the wild
101 of raptors for use in falconry. Harvest rate is the difference between the annual survival
102 rate of the harvested age-class without harvest and with harvest; in the case of eyas and
103 passage age classes, this equals the proportion of the annual cohort of young harvested by
104 falconers. The maximum sustainable yield (MSY) is the greatest harvest rate (in 0.01
105 unit increments) that does not produce a decline in the number of breeding adults in the
106 modeled populations; we refer to harvest levels below this rate as sustainable. “Moffat’s
107 equilibrium” is the stable age structure at equilibrium population size for a given set of
108 demographic parameter values (Hunt 1998). When we report population size at Moffat’s
109 equilibrium we include all age classes, unless otherwise noted. Demographic parameters
110 of interest are productivity, defined as the mean number of young fledged per occupied
111 nest site annually (ρ) as recommended by Steenhof (1987), and the juvenile (θ_j), subadult
112 (θ_s), and adult (θ_a) annual survival rates (proportions alive at fledging time each year).

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Falconry harvest

115 Falconers who take raptors from the wild are generally required to do so either by
116 removing eyases from nests or by trapping passage birds during their first year of life.
117 Because of difficulties distinguishing age classes, current regulations do not restrict
118 harvest of American kestrels and great horned owls to first-year individuals. In addition,
119 golden eagles older than 1 yr may be taken, but all harvest of golden eagles is restricted
120 to depredating individuals under special circumstances by provisions in the Bald and
121 Golden Eagle Protection Act (16 U.S.C. 668-668d). Each falconer must report to the
122 FWS and the respective state fish and wildlife agency all acquisitions and dispositions of
123 raptors taken or otherwise acquired under his or her falconry permit (50 CFR 21). FWS
124 regional migratory bird permit offices input all data on raptors taken from the wild into
125 the FWS's permit tracking database. We used data for 2003 and 2004 from this database
126 to assess the number of raptors removed from the wild by species for the purposes of our
127 analyses. Some wild take may go unreported each year, but we believe such actions are
128 infrequent enough to be considered inconsequential in the context of this analysis.

129 We used the harvest statistics reported above and modified population size
130 estimates for continental North America from the Partners in Flight North American
131 Landbird Conservation Plan (Rich et al. 2004) to estimate the proportion of the year-1
132 cohort removed from the wild by falconers in 2003 and 2004. These estimates are for
133 Canada and the United States, which is the appropriate geographic scale for this
134 assessment because migrant raptors from Canada are undoubtedly included in the United
135 States harvest of passage raptors. We eliminated the ad hoc visibility correction factor
136 employed by Rich et al. (2004) that doubled population estimates derived from Breeding

137 Bird Survey (BBS) counts under the general assumption that 50% of individuals were not
138 detected because they were incubating or brooding on nests. This assumption is likely
139 not valid for raptors because most species have large young that do not require brooding
140 by the time BBS routes are run in May and June, and delayed maturation and nest site
141 limitations result in large numbers of subadult and floaters in most populations (Newton
142 1979). We agree that the probability of detection for raptors is certainly less than 1.0 on
143 BBS routes, but in the absence of an empirically derived visibility correction factor we
144 chose to use the more conservative unadjusted estimates of population size. For the
145 peregrine falcon, opportunities for falconry harvest are currently restricted to a portion of
146 the species' North American range. Accordingly, we used population estimates for the
147 peregrine falcon for the portion of the species' geographic range that is subject to harvest
148 from U.S. Fish and Wildlife Service (2004).

149

150 **Demographic effects of harvest**

151 We modeled the effects of falconry harvest at different rates on hypothetical
152 closed raptor populations using the best demographic data available for each species. We
153 gave preference to findings from long-term mark-recapture or radio-tracking studies
154 where emigration probabilities were estimated, because such studies yield less biased
155 estimates of juvenile and adult survival rates than simple band recovery or mark-
156 recapture analyses (Kenward et al. 2000). For species lacking intensive long-term
157 demographic studies that accounted for emigration rates, we used the mid-points of

158 ranges for estimates of demographic parameters reported in applicable Birds of North
159 America accounts.

160 We selected the following species for analysis because they are harvested
161 regularly by United States falconers or they are biologically similar to harvested U.S.
162 species: (1) Eurasian sparrowhawk (*A. nisus*), biologically similar to the Cooper's hawk
163 (*A. cooperii*) and sharp-shinned hawk (*A. striatus*), using data from a marked population
164 in Southern Scotland from 1971 – 1984 (Newton 1986); (2) a radio-tagged and banded
165 population of northern goshawks (*Accipiter gentilis*) from the Baltic island of Gotland,
166 Sweden, using demographic data from 1980 – 1987 (Kenward et al. 1999); (3) Harris's
167 hawk (*Parabuteo unicinctus*), using summarized demographic data from Bednarz (1995);
168 (4) red-tailed hawk (*Buteo jamaicensis*), using summarized demographic data in Preston
169 and Beane (1993); (5) American kestrel (*Falco sparverius*) using summarized
170 demographic data in Smallwood and Bird (2002); (6) peregrine falcon, using
171 demographic data from a color-marked population in Colorado, USA, collected from
172 1973 – 2001 (Craig et al. 2004); (7) prairie falcon, using summarized demographic data
173 in Steenhof (1998); and (8) golden eagle, using age-specific survival rate estimates from
174 a long-term radio-tracking study in California by Hunt (2002) and composite productivity
175 values from Kochert et al. (2002) (Table 1). It is important to note that there are
176 differences among species in how occupied nest sites were defined. In the case of the
177 Eurasian sparrowhawk, occupied nests were defined as nests in which at least 1 egg was
178 laid (Newton 1986). For other species, occupied nest sites were sites with a territorial
179 pair in attendance, but the likelihood of detecting pairs whose nests fail early in the

180 nesting cycle varies among species (Steenhof 1987). These differences affect strict
181 comparability of productivity estimates among species, but we believe the bias does not
182 compromise our overall conclusions.

183 To estimate how falconry harvest likely affects raptor populations, we used a
184 deterministic, Excel-based matrix model (Hunt 2003) that limited the number of adults
185 that could breed annually to 2,000 (i.e., we assumed 1,000 suitable breeding sites for each
186 hypothetical population). The algebraic formulas used to compute equilibrium stage
187 structure are given in Hunt (1998). Models were run for 100 years using point estimates
188 of mean values for ρ , θ_j , θ_s (for species with delayed maturation), and θ_a from the peer-
189 reviewed literature for the 8 species of raptors. We used the model output to estimate
190 population size and structure at Moffat's equilibrium. We fixed parameters of the model
191 that, in reality, would likely shift to buffer declines (e.g., a decrease in age at first
192 breeding, an increase in mean productivity as nest sites of lesser quality became
193 unoccupied and interference competition relaxed; Newton and Mearns 1988; Ferrer and
194 Donazar 1996). However, we also made no effort to account for demographic or
195 environmental stochasticity, nor did we account for potential lowered reproductive
196 success of first-time breeders (Newton 1979), both factors that could affect population
197 structure and growth rates. We recognize that not incorporating these features of raptor
198 populations in our models oversimplifies what likely occurs in nature, but we believe the
199 model outputs adequately illustrate the probable impacts of harvest on wild raptor
200 populations.

201 In our initial model runs, we incorporated harvest effects by decreasing first-year
202 survival rates in 0.01 unit increments, which would be the case if all harvest was of
203 passage raptors. For comparison purposes, we also simulated an eyes-only and adult-
204 only harvest of peregrine falcons by decreasing productivity values, and by increasing
205 adult mortality values, by 0.01 unit increments, respectively. Response variables of
206 interest at Moffat's equilibrium after 100 years of harvest at the specified rates included
207 resultant numbers of breeders (N_b), juveniles (N_j), subadults (N_s), and floating adults
208 (N_f); the annual rate of population change (λ) if all breeding-age adults were able to breed
209 and produce young at the rate of the population mean; and the floater to breeder ratio (ζ),
210 which is the ratio of nonbreeding adults to breeders. In general, λ is a useful way of
211 gauging the impacts of harvest in a non-saturated population where growth is possible,
212 and ζ is the more useful metric when the population is at equilibrium and all breeding
213 sites are occupied (Hunt 1998). We also developed MSY curves with harvest rate as the
214 variable of interest for golden eagles, peregrine falcons, and American kestrels. These
215 three species represent the range of harvest potential based on the available data.

216 To estimate actual harvest rates, we divided the number of individuals of each
217 species harvested by the estimated size of the juvenile population of each species. We
218 used the average of the number of individuals of each species harvested in 2003 and 2004
219 as the numerator. We estimated the denominator by multiplying the overall population
220 estimate for each species by an estimate of the proportion of the population that was ≤ 1
221 yr old (and therefore subject to harvest). We based our estimate of the proportional size
222 of the ≤ 1 yr-old age class on the species-specific population structure from our models at

223 the 0% harvest rate at Moffat's equilibrium. For species for which we lacked data to
224 develop specific models, we used the model output for the species with the most similar
225 life history characteristics. Estimates for sharp-shinned hawks and Cooper's hawks are
226 from the model for the Eurasian sparrowhawk; estimates for the red-shouldered hawk,
227 ferruginous hawk, great horned owl, and snowy owl are from the model for the red-tailed
228 hawk; the estimate for the merlin and Eastern screech-owl are from the model for the
229 American kestrel.

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Results

232 Actual falconry harvest in 2003 and 2004

233 Falconers harvested 922 and 1,062 raptors of 15 species from the wild in the
234 United States in 2003 and 2004, respectively (Table 2). Although the most frequently
235 harvested species was the red-tailed hawk, the estimated harvest rate was greater for the
236 Harris's hawk, peregrine falcon, and prairie falcon. For all species, the estimated harvest
237 rate was below 1.0% of the juvenile cohort.

238

239 Modeled impacts of harvest on populations

240 Passage harvest models for all 8 example raptor species at Moffat's equilibrium
241 showed that numerical effects of harvest are primarily restricted to the subadult and
242 floating adult components of populations (Fig. 1). When higher harvest rates
243 compromise the equilibrium, floaters are absent because all adults are able to acquire
244 breeding sites. At the highest levels of harvest, equilibrium population size of all age

245 classes are predicted to be substantially below that at MSY, and the degree of reduction is
246 related to the degree to which harvest rate exceeds MSY. The harvest rate at MSY
247 differs considerably depending on the age classes included in the harvest and, as
248 expected, is greatest for a harvest of eyases and lowest for a harvest of adults (Table 3;
249 Fig. 2). MSY passage harvest rates varies among species in accordance with variation in
250 vital rates (Fig. 3), and this variation is also apparent in changes in λ for unsaturated
251 populations of those species (Fig. 4).

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Discussion

254 Our results suggest that the sustainability of falconry harvest varies among raptor
255 species in accordance with variation in vital rates. Model predictions indicate a
256 comparatively low relative harvest potential for several species (Eurasian sparrowhawk,
257 red-tailed hawk, American kestrel, prairie falcon). We suspect that this is largely due to
258 the underestimation of vital rates for these species because survival rates for them were
259 derived from banding or marking studies that did not include unbiased correction for
260 emigration, and to a lesser degree for the effects of differential mortality among age
261 classes, which can affect reporting rates (Newton 1979, Kenward et al. 2000). In
262 contrast, vital rate estimates for goshawks, golden eagles, and to a lesser degree,
263 peregrine falcons, were based on radio-tracking or marking studies that allowed for
264 estimation and correction for these biases. As Kenward et al. (2000) showed, banding
265 and marking typically greatly underestimate survival in raptors relative to findings for the

266 same populations from radio-tagging studies. Our findings highlight the need for better
267 information on vital rates of these raptors.

268 Our model output confirms, at least for the peregrine falcon, that the impacts of
269 harvest are proportional to the age of the cohort harvested, with nestling harvest having
270 the least impact. This is consistent with findings of many previous studies that show
271 raptor populations are most sensitive to changes in adult mortality rates (Newton 1979).
272 Changes in raptor populations in response to sustainable harvest are largely restricted to
273 the subadult and floating adult components of the populations, neither of which is
274 amenable to population monitoring by traditional methods of counting breeding adults
275 and young at nest sites. Overharvest would initially produce a decrease in the number of
276 floating adults, which would likely increase the number of younger breeders at nests
277 (Newton 1979, Ferrer et al. 2003), and could eventually cause a decrease in nest site
278 occupancy. Monitoring trends in the age of breeders at nests could provide an early
279 indication of decline (Ferrer et al. 2003), but such a pattern also would also be expected
280 in an unsaturated population that was increasing (Newton and Mearns 1988, Tordoff and
281 Redig 1997).

282 Our models oversimplify what would be expected to occur in nature, and ideally
283 our predictions should be tested experimentally with wild populations. We encourage
284 study in this area, but recognize that the logistics of such work will be daunting given the
285 difficulty measuring population responses among nonbreeders. Previous attempts to
286 estimate sustainable harvest rates for raptor populations have examined empirical data on
287 rates of recovery of depleted populations, sustainability of populations under persecution,

288 or, in one case, population responses to experimental harvest (Conway et al. 1995,
289 Kenward 1997). The conclusions of these analyses generally mirror what we found: that
290 many raptor populations can sustain eyes or passage harvest rates of 10% - 20%, and
291 sometimes higher. This increases our confidence in the results presented here. That said,
292 we also believe a degree of caution is warranted in applying these results. MSY
293 approaches to harvest management frequently overestimate sustainability, and monitoring
294 capabilities are often not adequate to determine when harvest rates need to be reduced or
295 modified (Ludwig et al. 1993). Moreover, deterministic models can produce overly
296 optimistic projections of sustainability by masking the consequences of stochastic events
297 that can temporarily depress production or elevate mortality (Beissinger and Westphal
298 1998).

299 In our models we used demographic values that, while realistic for the species, are
300 not likely representative of all populations of those species at all times. Though this
301 justifies caution in applying our findings to local populations, we believe that our overall
302 findings are representative for raptor populations in healthy condition. In declining
303 populations, harvest would amplify declines commensurate with harvest rate. However,
304 to determine the ultimate effects of falconry harvest on a declining raptor population, it
305 would be important to know the cause of the decline. For example, we doubt that raptor
306 populations declining due to locally deteriorating habitat conditions or declines in food
307 availability would be appreciably impacted over the long term by falconry harvest if the
308 proportion harvested remained constant through the range of changes in population size.
309 This is because once the population reached carrying capacity under the new conditions,

310 demographic values would be expected to stabilize at healthy levels. On the other hand,
311 population declines in species experiencing excessive mortality or reproductive failure
312 would be exacerbated by harvest at any level, and unless the underlying cause of the
313 decline was remedied or the harvest stopped, extirpation or extinction would occur more
314 rapidly than would otherwise be the case.

315 Our analyses, which assume that raptor harvest constitutes an irrevocable additive
316 mortality effect on populations, are conservative for 2 reasons. First, not all raptors
317 harvested by falconers are permanently removed from the wild. Mullenix and Millsap
318 (1998) reported that about 40% of falconer-harvested red-tailed hawks and American
319 kestrels are either purposefully or accidentally returned to the wild each year. Survival
320 rates and fitness of these birds are unknown, but some almost certainly survive and return
321 successfully to the wild population. For example, in Great Britain, the northern goshawk
322 was reestablished as a breeding species from escaped falconry stock (Kenward 1974,
323 Kenward et al. 1981). Second, Conway et al. (1995) found that nestling prairie falcons
324 left in nests from which siblings were harvested had higher survival and breeding
325 recruitment rates than nestlings from unharvested nests. This suggests that in the case of
326 eyes harvest there may be a compensatory effect of harvest on survival of remaining
327 nestlings.

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Management implications

331 Our results suggest that harvest strategies employed by agencies seeking to
332 regulate the take of raptors by falconers should manage take based on each species'
333 ability to sustain harvest, recognizing that for some species, the state of current
334 knowledge probably underestimates that capacity. Further, we believe that harvest rates
335 should be conservative given the potential for MSY-based analyses to overestimate
336 sustainability and the impracticality of measuring the actual effects of harvest on wild
337 raptor populations. Finally, limiting take to eyas and passage raptors, as is currently the
338 case for most species, is an effective strategy for limiting effects of harvest on
339 populations.

340 As a practical guide, we recommend that in the USA, harvest of juvenile raptors
341 be limited to $\frac{1}{2}$ of the estimated MSY up to a maximum of 5%, depending on species-
342 specific estimates of capacity to sustain harvest. We suggest that the available
343 information on vital rates are sufficient to justify harvest rates of up to 5% for northern
344 goshawks, Harris's hawks, peregrine falcons, and golden eagles; species with estimated
345 MSYs greater than twice this value. We advocate harvest rates of $\frac{1}{2}$ MSY for other
346 North American species we assessed, and harvest rates of 1% for species without
347 adequate demographic data to estimate MSY until better estimates of vital rates confirm
348 greater harvest potential (Table 2). We believe that harvest rates below these levels are
349 unlikely to produce discernible effects on raptor numbers or the sustainability of
350 otherwise healthy populations, and are probably inconsequential in declining populations
351 if those declines are caused by a reduction in the amount of suitable habitat or prey
352 availability.

353 One obvious difficulty in this approach is the lack of reliable annual information
354 on abundance for raptor species from which to calculate harvest rates. The BBS-based
355 abundance estimates we used here are likely conservative for most species, particularly
356 with the modification we employed that eliminated the visibility correction factor used by
357 Rich et al. (2004). Given this, and considering that most raptor populations tend to be
358 fairly stable from year-to-year (Newton 1979), annual estimates of abundance may not be
359 necessary for management of falconry take. Rather, we suggest the approximate annual
360 harvest rate estimates derived from known annual harvest divided by the estimated
361 number of juveniles in Table 1 should suffice to identify species for which harvest might
362 be approaching the thresholds identified here. Under this approach, we suggest that
363 juvenile population size estimates for species with declining BBS trends be recalculated
364 every 3 yr, and that those for other species be revised every 6 yr. While BBS-based
365 population estimates will never be ideal for raptors, they could be improved if future
366 recalculations included some measure of annual variation so that confidence intervals
367 could be constructed for the estimates.

368 The approach outlined above seems particularly appropriate when one considers
369 that estimated harvest rates in 2003 and 2004 for all raptor species in the U.S. were well
370 below the recommended thresholds. The primary harvest regulation mechanism in effect
371 in these years was a 2-bird per falconer limit on the number of raptors that could be
372 removed from the wild each year, in conjunction with an overall maximum possession
373 limit of 3 birds. Thus, even with some 4,250 licensed falconers in the U.S. (U.S. Fish and
374 Wildlife Service files) and a potential harvest of up to 8,500 raptors, harvest rates were

375 extremely conservative under this regulatory framework; only 11.7% of the
376 recommended allowable take occurred.

377 Although we include golden eagles in our analysis, harvest of golden eagles is
378 regulated differently than other falconry species. The Bald and Golden Eagle Protection
379 Act (16 U.S.C. 668-668d) provides added restrictions specific to the take of golden
380 eagles: only falconers with >7 yr of overall falconry experience and eagle handling
381 experience may take golden eagles from the wild, and only in certified depredation areas.
382 Therefore, take of golden eagles for falconry is far more limited than is other falconry
383 harvest.

384 Our assessment indicates take of wild raptors for falconry is very unlikely to have
385 a significant adverse impact on wild raptor populations in the U.S. Because of the
386 limited participation in falconry, and because nearly half of all raptors used in the sport
387 are produced through captive breeding and not taken from the wild (Peyton et al. 1995),
388 we believe impacts are unlikely to increase. Nevertheless, our recommendations provide
389 a relatively easy and cost effective way to track the potential national impact on an
390 annual basis using harvest reports already being provided by falconers. Only if the
391 potential for impacts increase, either through substantial growth in the number of licensed
392 falconers or an increase in harvest rates for a particular species, would additional
393 safeguards be necessary.

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1 Table 1. Species, data sources, and demographic input to models used to assess effects of falconry harvest on wild raptor populations
 2 in the United States.

Species	Data source	Geographic locale	Annual			Age at first breeding (yr of age of limiting sex)	Maximum age ^b
			juvenile survival	subadult survival ^a	adult survival		
Eurasian sparrowhawk	Newton 1986	Southern Scotland	0.45	-	0.61	1	13
Northern goshawk	Kenward et al. 1999	Baltic Islands, Sweden	0.58	0.65	0.81	2	17
Harris's hawk	Bednarz 1995	Composite, USA	0.70	0.64	0.82	2	17
Red-tailed hawk	Preston and Beane 1993	Composite, USA	0.46	0.80	0.80	2	17
American kestrel	Smallwood and Bird 2002	Composite, USA	0.31	--	0.55	1	11
Peregrine falcon	Craig et al. 2005	Colorado USA	0.54	0.67	0.80	2	17
Prairie Falcon	Steenhoff 1998	Composite, USA	0.25	--	0.75	1	14
Golden Eagle	Survival rates from Hunt (2002), productivity from Koehert et al. 2002	California, USA for survival; composite USA for productivity	0.84	0.90	0.91	5	25

3 ^aFor species indicated as breeding at 1 yr of age, there is no subadult age class in the models. For others, the subadult age class

4 includes years after year 1 (juvenile) and the age at first breeding. Most species indicated as first breeding at age 2 do occasionally

- 1 breed at age 1, particularly females (Newton 1979), but we used the values reported here in our models as we felt they were
- 2 appropriately conservative.
- 3 ^bMaximum age as calculated in models. We assumed no breeding senescence, so maximum breeding age equals maximum
- 4 age.

1 Table 2. Number of raptors removed from the wild by licensed falconers in the United States in 2003 and 2004 according to U. S.

2 Fish and Wildlife Service records. Population size estimates are from Rich et al. (2004). Percent harvest estimates use the mean

3 number harvested.

4

Species	North American population size ^a	Estimated % juveniles ^b	Number juveniles ^b	Number Harvested		% juveniles harvested	Recommended maximum harvest rate
				2003	2004		
				Mean			
Sharp-Shinned Hawk	291,500	0.50	145,750	15	15	0.0103	1.0%
Cooper's Hawk	276,450	0.50	138,225	67	72	0.0503	1.0%
Northern Goshawk	120,050	0.30	36,015	52	46	0.1361	5.0%
Harris's Hawk	19,500	0.25	4,875	50	32	0.8410	5.0%
Ferruginous Hawk	11,500	0.30	3,450	7	6	0.1884	1.0%
Red-Shouldered Hawk	410,850	0.30	123,255	3	3	0.0024	1.0%
Red-tailed Hawk	979,000	0.30	293,700	527	645	0.1995	4.5%
American Kestrel	2,175,000	0.60	1,305,000	100	101	0.0077	1.5%

Merlin	325,000	0.60	195,000	48	52	50	0.0256	1.0%
Gyrfalcon	27,500	0.30	8,250	8	19	13.5	0.1636	1.0%
Peregrine Falcon	9,870 ^e	0.30	2,961	1 ^c	18	18	0.6079	5.0%
Prairie Falcon	17,280	0.50	8,640	31	42	36.5	0.4225	1.0%
Eastern Screech-Owl	369,600	0.60	221,760	1	0	0.5	0.0002	1.0%
Western Screech-Owl	270,100	0.60	162,060	0	3	1.5	0.0009	1.0%
Great Horned Owl	1,139,500	0.30	391,850	6	7	6.5	0.0020	1.0%
Snowy Owl	72,500	0.30	21,750	1	1	1	0.0046	1.0%
Total				922	1,062	992		

1

2 ^aUnless otherwise noted, taken from Rich et al. (2004), but modified as described in the Methods. Units are total number of
3 individuals.

4 ^bThe percent juveniles was estimated from observed population structure in species-specific population models at equilibrium (see

5 Fig 1 and Table 1). Estimates for sharp-shinned hawks and Cooper's hawks are from the model for the Eurasian sparrowhawk;

- 1 estimates for the red-shouldered hawk, ferruginous hawk, great horned owl, and snowy owl are from the model for the red-tailed
- 2 hawk; and estimates for the merlin and Eastern screech-owl are from the model for the American kestrel.
- 3 ^aHarvest of peregrine falcons is limited to states west of the 100th meridian, and the is the population included here. This population
- 4 size estimate is from U.S. Fish and Wildlife Service (2004), based on direct counts from states. Harvest of wild peregrine falcons for
- 5 falconry was authorized only in Alaska in 2003, but was expanded to include other western states in 2004.

1 Table 3. Summary of model output for 8 species of raptors using demographic data in
 2 Table 1. The floater-to-breeder ratio (ζ) is descriptive of saturated populations at
 3 Moffat's equilibrium, whereas the annual rate of population change (λ) is applicable for
 4 populations that are below carrying capacity and still capable of growth. The harvest rate
 5 at maximum sustainable yield (MSY) assumes populations are at Moffat's equilibrium,
 6 and likely are not representative of maximum sustainable harvest rates for all populations
 7 of the species.

8

Species	Age of	Harvest rate at		
	harvest	Initial ζ	Initial λ	MSY
Eurasian				
sparrowhawk	Passage	0.26	1.07	0.06
Northern goshawk	Passage	0.39	1.05	0.16
Harris's hawk	Passage	0.45	1.45	0.41
Red-tailed hawk	Passage	0.25	1.03	0.09
American kestrel	Passage	0.14	1.04	0.03
Peregrine falcon	Eyas	0.46	1.06	0.31
Peregrine falcon	Passage	0.46	1.06	0.16
Prairie Falcon	Passage	0.37	1.07	0.06
Golden Eagle	Passage	1.35	1.07	0.31

9

1 Figure 1. Estimated population structure of 8 raptor species at various passage harvest
2 rates (% of juvenile cohort taken by falconers). See Methods section in text for
3 definitions. Data sources are given in Table 1. The component of the population that can
4 be accounted for through nest-site monitoring is cross-hatched. For all species, effects of
5 harvest on populations below the harvest rate at maximum sustainable yield (MSY) are
6 primarily in population segments that are not associated with nest sites. Above the MSY
7 harvest rate, nest site occupancy and production are maintained at lower equilibrium
8 levels than would otherwise be supportable.

9

10 Figure 2. Change in floater-to-breeder ratio (ζ) with increasing harvest rate in a
11 hypothetical peregrine falcon population at Moffat's equilibrium, using demographic data
12 in Table 1. Under these demographic parameter values, the harvest rate at maximum
13 sustainable yield is 3 times greater for an eyas-only harvest compared to a harvest of
14 adults.

15

16 Figure 3. Harvest equilibrium curves for 3 species of raptors representing the range of
17 harvest potential observed. Modeled harvest is of passage individuals, and models use
18 the demographic data for each species from Table 1.

19

20 Figure 4. Change in population growth rate (λ) with changing passage harvest rate for 8
21 species of raptors at harvest levels below maximum sustainable yield, using demographic
22 parameter values from Table 1.







